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## Personal Information

**Salutation** Mr.  
**First Name** Rafael  
**Middle name** *Left Blank*  
**Last name** del Pino Gallardo  
**Suffix** *Left Blank*  
**Western Name** Mr. Rafael del Pino Gallardo  
**Date of Birth** 08/22/1984  
**Email Address** r.d.p.g.2208@gmail.com  
**Marital Status** Married  
**Number of Dependents** 5

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## Address

**Country** Spain  
**Address** C/ Pajaritos 9 ,1B  
**Apt, Suite, Floor, etc.** *Left Blank*  
**City** Sevilla  
**State/Province** Andalucia  
**Postal Code** 41004

YES  NO Do you have a different mailing address?

YES  NO Do you have a different Country of Citizenship other than Spain?

YES  NO I was born outside of Spain?

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## Contact Information

**Type** MOBILE  
**Number** +34678339746  
**Country** Spain

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## Tax Residency

**Country** Spain  
**Tax ID** XXXXX489M

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## Identity Documents

**Document Type** Passport  
**Identity Number 1** AAK123709  
**Identity Number 2** *Left Blank*

**Expiration Date** 2024-12-19  
**Country** Spain

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## Employment

**Employment Status** Self Employed

**YES**  **NO** Are you or anyone in your immediate family employed by or registered with a broker-dealer, investment advisor, futures commission merchant, hedge fund, exchange or other financial services firm?

**Employer Name** Ron Familia del Pino Gallardo

**Nature of Business** Production and Manufacturing

**Occupation** Quality Assurance Specialist

**Country** Spain

**Address** Calle Canaleta Bloque 2 ,1D

**Apt, Suite, Floor, etc.** *Left Blank*

**City** Punta Unbria

**State/Province** Andalucia

**Postal Code** 21100

**YES**  **NO** Do you have any additional sources of wealth?

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## Account Information

**Account Type** CASH

**Currency** EUR

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## Customer Questionnaire

Please provide a description of how your current income is earned. e.g. if income from employment, where do you currently work and how long have you been with your employer? If rental income, how much do you earn monthly/annually? If allowance/spousal income, how does the individual providing the income earn their wealth. Please try to be complete and open since it will help avoid further questions and delays (Recommended 30 words). Please provide details of your source of income. Insufficient details may lead to delays in processing your account.

I am in public income my sources are on Spain and is certificated by Instituto Social de la Marina e Instituto Nacional de la Seguridad Social and i have an income with the comapanay Caser on Spain.

## Regulatory Information

### Affiliate Designation

**YES** **NO** Are the owners of, or other non-owners listed on, the account Employees of a publicly traded company?

**YES** **NO** Do the owners of, or other non-owners listed on, the account Control a publicly traded company?

- “Control” means the possession, direct or indirect, of the power to direct the management and policies of a company, whether through ownership of voting securities, by contract, or otherwise.
- Any person that is an officer, director, or at least a 10% shareholder of a company is considered to Control the company.

### Assets & Income

The financial information below will be used by our Compliance Department to determine whether you are eligible to trade the investment products you select now or in the future. We never sell your information to any third parties, nor will we call you and suggest investments for you.

**Net Worth** > 25,019,020 EUR  
**Liquid Net Worth** > 3,995,430 EUR  
**Net Income** > 998,850 EUR

### Investment Objectives

Your choices below determine the investment products that you can be approved to trade.

<b>Growth</b>	To increase the principal value of your investments over time rather than seek current income. Investor assumes higher degree of risk.
<b>Hedging</b>	To take positions in a product to hedge or offset the risk in another product.
<b>Preservation of Capital and Income Generation</b>	To seek maximum safety and stability for your principal by focusing on securities and investments that carry a low degree of risk and/or To generate dividend, interest or other income instead of or in addition to long-term capital appreciation.
<b>Profits from Active Trading and Speculation</b>	To increase the principal value of your investments by assuming substantially higher risk to your investment capital and/or To substantially increase the principal value of your investments by assuming substantially higher risk to your investment capital.



## I Want to Trade...

Your choices below determine the investment products that you can be approved to trade. Check all that apply.

### Stocks

**Years Trading** > 10  
**Knowledge Level** Extensive  
**Trades Per Year** > 100  
**Exchanges** United States-Stocks United States-Trade in Fractions Spain-Stocks Central Europe-Stocks

### Currency Conversion

**Exchanges** IB-Currency IB-Currency - IDEALPRO

## Confirm Your Tax Residence

1. Name of Beneficial Owner	Mr. Rafael del Pino Gallardo
2. Country of Citizenship	Spain
3. Address	C/ Pajaritos 9 ,1B, Sevilla, ES-AN, Spain, 41004
4. Mailing Address	
5. Date of Birth	1984-08-22
6. US tax identification number (SSN or ITIN)	<i>Left Blank</i>
7. Foreign tax identification number	28821489M

I certify that the beneficial owner is a resident of the following country within the meaning of the income tax treaty between the United States and that country.

Spain

### 9. Declaration of Tax Residency

**Country** Spain  
**Tax ID** 28821489M

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## Confirm Your Tax Residence

### US Internal Revenue Service Certification

Under penalties of perjury, I declare that I have examined the information in lines 1-9 and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
  - income not effectively connected with the conduct of a trade or business in the United States;
  - income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
  - the partner's share of a partnership's effectively connected taxable income; or
  - the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions to IRS Form W-8BEN.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

**The US Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person, and if applicable, obtain a reduced rate of withholding.**

## General Agreements & Disclosures

Documents are available for review in the downloadable Agreements & Disclosures package.